

| General information about company | |
|--|-----------------------------|
| Scrip code | 539669 |
| Name of the company | RGF CAPITAL MARKETS LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2017 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|------------|-----------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 7 | 32905460 | | | 32905460 | 21.93 | 32905460 | | 32905460 | 21.93 |
| (B) | Public | 547 | 117118540 | | | 117118540 | 78.07 | 117118540 | | 117118540 | 78.07 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 554 | 150024000 | | | 150024000 | 100 | 150024000 | | 150024000 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 21.93 | | | | | 32905460 |
| (B) | Public | | | | 78.07 | | | | | 117118540 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 150024000 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|-----------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 1 | 9000000 | | | 9000000 | 6 | 9000000 | | 9000000 | 6 |
| (d) | Any Other (specify) | 6 | 23905460 | | | 23905460 | 15.93 | 23905460 | | 23905460 | 15.93 |
| Sub-Total (A)(1) | | 7 | 32905460 | | | 32905460 | 21.93 | 32905460 | | 32905460 | 21.93 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 7 | 32905460 | | | 32905460 | 21.93 | 32905460 | | 32905460 | 21.93 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 494 | 8234134 | | | 8234134 | 5.49 | 8234134 | | 8234134 | 5.49 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 27 | 19080700 | | | 19080700 | 12.72 | 19080700 | | 19080700 | 12.72 |
| (b) | NBFCs registered with RBI | 2 | 73778266 | | | 73778266 | 49.18 | 73778266 | | 73778266 | 49.18 |
| (e) | Any Other (specify) | 24 | 16025440 | | | 16025440 | 10.68 | 16025440 | | 16025440 | 10.68 |
| Sub-Total (B)(3) | | 547 | 117118540 | | | 117118540 | 78.07 | 117118540 | | 117118540 | 78.07 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 547 | 117118540 | | | 117118540 | 78.07 | 117118540 | | 117118540 | 78.07 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 554 | 150024000 | | | 150024000 | 100 | 150024000 | | 150024000 | 100 |
| Total (A+B+C) | | 554 | 150024000 | | | 150024000 | 100 | 150024000 | | 150024000 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 6 | | | | | 9000000 |
| (d) | | | | 15.93 | | | | | 23905460 |
| Sub-Total (A) (1) | | | | 21.93 | | | | | 32905460 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 21.93 | | | | | 32905460 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 5.49 | | | | | 8234134 |
| (a(ii)) | | | | 12.72 | | | | | 19080700 |
| (b) | | | | 49.18 | | | | | 73778266 |
| (e) | | | | 10.68 | | | | | 16025440 |
| Sub-Total (B) (3) | | | | 78.07 | | | | | 117118540 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 78.07 | | | | | 117118540 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 150024000 |
| Total (A+B+C) | | | | 100 | | | | | 150024000 |

| Individuals/Hindu undivided Family | | |
|--|-----------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | SAGARMAL NAHATA | Click here to go back |
| PAN (II) | ABEPN9248G | Total |
| No. of fully paid up equity shares held (IV) | 9000000 | 9000000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 9000000 | 9000000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 6 | 6 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg:X | 9000000 | 9000000 |
| Class eg:y | | |
| Total | 9000000 | 9000000 |
| Total as a % of Total Voting rights | 6 | 6 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 6 | 6 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 9000000 | 9000000 |
| Reason for not providing PAN | | |

| | | |
|------------------------------|--|--|
| Reason for not providing PAN | | |
|------------------------------|--|--|

| Any Other (specify) | | | | | | |
|--|---------------------------|----------------------------|-----------------------------|------------------------|---------------------------|---------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate |
| Name of the Shareholders (I) | CARAVAN VYAPAAR PVT. LTD. | BUDDLEIA TRADERS PVT. LTD. | SYNOSY AUTOMOTIVE PVT. LTD. | SHARP INVESTMENTS LTD. | MISSION VYAPAAR PVT. LTD. | FORTUNE VYAPAAR PVT. LTD. |
| PAN (II) | AACCC6766A | AACCB7033P | AAGCS3644B | AAECS2680R | AAECM4087E | AAACF9202A |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 4760970 | 4618720 | 4464500 | 3979130 | 3056500 | 3025640 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4760970 | 4618720 | 4464500 | 3979130 | 3056500 | 3025640 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.17 | 3.08 | 2.98 | 2.65 | 2.04 | 2.02 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 4760970 | 4618720 | 4464500 | 3979130 | 3056500 | 3025640 |
| Class eg:y | | | | | | |
| Total | 4760970 | 4618720 | 4464500 | 3979130 | 3056500 | 3025640 |
| Total as a % of Total Voting rights | 3.17 | 3.08 | 2.98 | 2.65 | 2.04 | 2.02 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.17 | 3.08 | 2.98 | 2.65 | 2.04 | 2.02 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total | | | | | | |

| | | | | | | |
|---|---------|---------|---------|---------|---------|---------|
| Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 4760970 | 4618720 | 4464500 | 3979130 | 3056500 | 3025640 |
| Reason for not providing PAN | | | | | | |

| Any Other (specify) | |
|--|---------------------------------------|
| Serial No. | |
| Category | Click here to go back |
| Name of the Shareholders (I) | |
| PAN (II) | Total |
| No. of the Shareholders (I) | 6 |
| No. of fully paid up equity shares held (IV) | 23905460 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 23905460 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 15.93 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 23905460 |
| Class eg:y | |
| Total | 23905460 |
| Total as a % of Total Voting rights | 15.93 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 15.93 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares pledged or otherwise encumbered (XIII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity | 23905460 |

| | |
|--|--|
| shares held in dematerialized form (XIV) | |
| Reason for not providing PAN | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | |
|--|-----------------------|----------------------|--------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | RANVEER SINGH CHHABRA | HARJEET KAUR CHHABRA | RAM KUMAR AGGARWAL | Click here to go back |
| PAN (II) | AENPC3827J | AENPC3830P | ADRPA7342P | Total |
| No. of fully paid up equity shares held (IV) | 4200000 | 2400000 | 2000000 | 8600000 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4200000 | 2400000 | 2000000 | 8600000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.8 | 1.6 | 1.33 | 5.73 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 4200000 | 2400000 | 2000000 | 8600000 |
| Class eg:y | | | | |
| Total | 4200000 | 2400000 | 2000000 | 8600000 |
| Total as a % of Total Voting rights | 2.8 | 1.6 | 1.33 | 5.73 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.8 | 1.6 | 1.33 | 5.73 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 4200000 | 2400000 | 2000000 | 8600000 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

| NBFCs registered with RBI | | | |
|--|-----------------------------|-------------------------------------|---------------------------------------|
| Serial No. | 1 | 2 | |
| Name of the Shareholders (I) | CASTLE COMMODITIES PVT LTD. | MANI MARKETING & HOLDINGS PVT. LTD. | Click here to go back |
| PAN (II) | AABCC2788H | AABCM7440C | Total |
| No. of fully paid up equity shares held (IV) | 36916390 | 36861876 | 73778266 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 36916390 | 36861876 | 73778266 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 24.61 | 24.57 | 49.18 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 36916390 | 36861876 | 73778266 |
| Class eg:y | | | |
| Total | 36916390 | 36861876 | 73778266 |
| Total as a % of Total Voting rights | 24.61 | 24.57 | 49.18 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 24.61 | 24.57 | 49.18 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 36916390 | 36861876 | 73778266 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

| Any Other (specify) | | | |
|--|--|--|---------------------------------------|
| Serial No. | 1 | 2 | |
| Category | Bodies Corporate | Bodies Corporate | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | ZAWAR SALES LIMITED | KARVA AUTOMART LIMITED | Click here to go back |
| PAN (II) | AAACZ0593N | AABCK9553C | Total |
| No. of the Shareholders (I) | 1 | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 6706000 | 5724450 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 6706000 | 5724450 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.47 | 3.82 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 6706000 | 5724450 | 0 |
| Class eg:y | | | |
| Total | 6706000 | 5724450 | 0 |
| Total as a % of Total Voting rights | 4.47 | 3.82 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.47 | 3.82 | 0 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 6706000 | 5724450 | 0 |

| Reason for not providing PAN | | | |
|------------------------------|--|--|--|
| Reason for not providing PAN | | | |

